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## In Situ FTIR, XPS, and STM Studies of the Nano-Structure of a Photopolymerized C<sub>60</sub> Film

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## ***In Situ* FTIR, XPS, and STM Studies of the Nano-Structure of a Photopolymerized C<sub>60</sub> Film**

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The nano-structure of C<sub>60</sub> photopolymers has been investigated using *in situ* Fourier-Transform Infrared spectroscopy (FTIR), X-ray photoelectron spectroscopy (XPS), and scanning tunneling microscopy (STM). The FTIR and XPS studies revealed that the C<sub>60</sub> photopolymer has a cross-linking via a [2+2] cycloaddition four-membered ring and formed a 2D rhombohedral structure when the polymerization was saturated. Using STM, we have successfully observed the direct real-space imaging of the C<sub>60</sub> dimers and trimers in a C<sub>60</sub> monolayer supported on a clean semiconductor surface.

**Keywords:** C<sub>60</sub> photopolymers; nano-structure; FTIR; XPS; STM; tight-binding MD calculations

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## INTRODUCTION

Recently, fullerene polymers have been designated as a new-form of carbon materials. Rao *et al.* first reported that  $C_{60}$  molecules are polymerized in a  $C_{60}$  film under irradiation using an Ar ion laser or UV-vis lamp<sup>[1]</sup>. Since then, there have been many reports on the synthesis of  $C_{60}$  polymers using various kinds of methods such as (1) photoirradiation<sup>[1]</sup>, (2) high pressure and high temperature<sup>[2]</sup>, (3) alkali-metal doping<sup>[3]</sup>, and (4) mechanochemical reactions<sup>[4]</sup>. In spite of the fact that  $C_{60}$  photopolymers have been reported, their structural aspects such as cross-linking and polymer configuration remain unsolved. In order to elucidate the cross-linking and configuration of the  $C_{60}$  photopolymers, we have examined the photoirradiated  $C_{60}$  film in an ultrahigh vacuum (UHV) chamber using *in situ* high-resolution FTIR in combination with theoretical IR calculations based on a tight-binding method<sup>[5,6]</sup>, XPS<sup>[7-10]</sup>, and STM<sup>[11,12]</sup>. In this paper, our recent results on the nano-structure of  $C_{60}$  photopolymers obtained by these methods are presented.

## EXPERIMENTAL

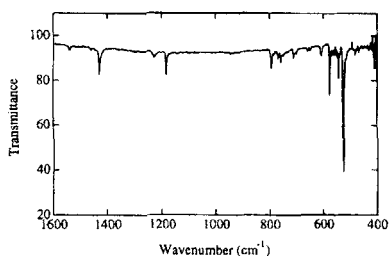
$C_{60}$  powder was placed into a quartz crucible. Thereafter, the  $C_{60}$  films were formed on a CsI substrate at 373 K by sublimation at 673 K for 1.5 h in an UHV chamber. The thickness of the formed  $C_{60}$  film was estimated to be about 100 nm. UV-vis light from a 500 W mercury lamp (emission lines in the range 2-4 eV) was used for the photopolymerization. The intensity of this UV-vis light over a 50 mm $\phi$  area was 3-4 W. Before and after the photoirradiation of the  $C_{60}$  film on the CsI substrate, the FTIR absorption spectra of the pristine and phototransformed  $C_{60}$  films were measured with the high resolution of 0.25 cm<sup>-1</sup> at room temperature.

For XPS measurement, after the  $C_{60}$  film deposited on a gold-coated stainless steel substrate, this sample was taken out of the UHV chamber and introduced into the XPS apparatus (MgK $\alpha$ =1253.6 eV). It was confirmed that there is no effect of charge-up on the sample upon X-ray irradiation in the present experiments. The 500 W mercury (Hg) lamp was also used for the photopolymerization.

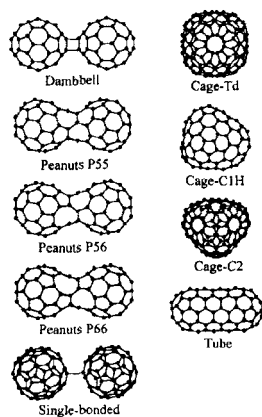
For STM measurement, an Ag-Si (111) substrate was prepared by depositing one monolayer of Ag onto a clean Si (111) surface<sup>[13]</sup>. The STM observations were performed at room temperature in a pressure of less than  $1 \times 10^{-10}$  Torr before and after the photoirradiation of the  $C_{60}$  monolayer formed on the substrate.

## RESULTS AND DISCUSSION

Figure 1 shows the IR spectrum for the phototransformed  $C_{60}$  film after a 26-h irradiation at room temperature<sup>[5]</sup>. There are not only many new weak IR peaks but there is also peak splitting of the four intrinsic IR-active modes. In order to identify the  $C_{120}$  dimer structure, we examined the theoretical IR spectra of several  $C_{120}$  isomers (see Fig. 2) using the TB-MD method, and compared these with the experimental IR spectrum shown in Fig. 1. It was found that the theoretical IR spectrum of the dumbbell [2+2]  $C_{120}$  structure reproduces the present experimental IR spectra of the photopolymerized  $C_{60}$  films better than any of the other dimer isomers<sup>[6]</sup>.

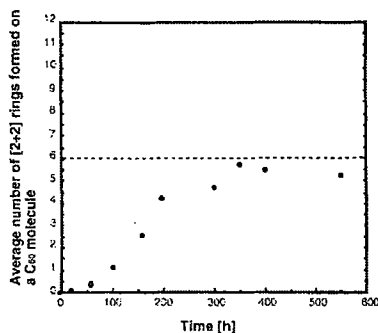


**Fig. 1.** FTIR spectrum for the phototransformed  $C_{60}$  film after 26-h irradiation using the 500W Hg lamp at 300 K.

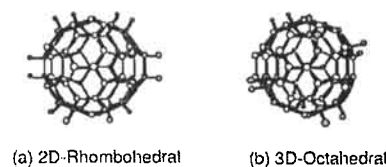


**Fig. 2.** Geometry of the nine possible  $C_{120}$  isomers.

From XPS measurements, we obtained Fig. 3 showing that the average number of photopolymerized  $C_{60}$  molecules increased with irradiation time and the photopolymerization was saturated after about 350-h of photoirradiation<sup>[8]</sup>. From the result in Fig. 3, the saturation number of the [2+2] four-membered rings formed on a  $C_{60}$  molecule was estimated to be approximately 6. What does this value imply? Based on the fact that photopolymerization proceeds via the [2+2] cycloaddition ring formation between adjacent  $C_{60}$  molecules<sup>[7,8]</sup>, two possible configurations can be considered. One possible configuration is the 2D-rhombohedral structure and the other one is the 3D-octahedral structure, as shown in Fig. 4. In these figures, the designed atoms are those with intermolecular C-C bonds. In the present study, the pristine  $C_{60}$  film was deposited on a Au-coated stainless steel substrate. Previous work on  $C_{60}$  film growth by STM has shown  $C_{60}$  to exhibit a preference for the formation of close-packed hexagonal overlayers, a (111) fcc structure, on gold surfaces such as a polycrystalline gold substrate<sup>[14,15]</sup>. Consequently, it can be concluded that the 2D-rhombohedral structure is preferable than the 3D octahedral structure for the  $C_{60}$  photopolymer.



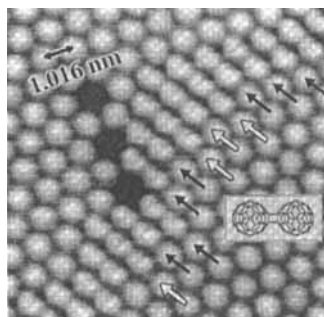
**Fig. 3.** The average number of [2+2] four-membered rings formed on a  $C_{60}$  molecule as a function of irradiation time.



**Fig. 4.** Possible configurations of  $C_{60}$  polymers with six [2+2] cycloaddition four-membered rings formed on a  $C_{60}$  molecule: (a) 2D-rhombohedral and (b) 3D-octahedral configurations. The designed atoms are those including intermolecular C-C bonds.

Figure 5 shows the real-space imaging of the  $C_{60}$  monolayer on the Ag-Si(111) surface after a 100-h photoirradiation<sup>[12]</sup>. Because the unreacted  $C_{60}$  molecules can freely

rotate in three dimensions at room temperature, these molecules were observed as a white circle. On the other hand, because photopolymerized  $C_{60}$  molecules could not freely rotate, these molecules were observed as indicated by the open and closed arrows in Fig. 5. One can see that the open and closed arrows represent the STM images of the  $C_{180}$  trimers (a linear chain) and  $C_{120}$  dimers, respectively. From the STM images of the unreacted  $C_{60}$  molecules, the intermolecular distance was determined to be 1.016 nm which is in good agreement with that of 1.002 nm for the  $C_{60}$  single crystal<sup>[11]</sup>. This indicates that the interaction between the  $C_{60}$  molecules and the substrate is comparable to that between adjacent  $C_{60}$  molecules. Accordingly, the effect of the substrate on the  $C_{60}$  photopolymerization was negligibly small. Using the intermolecular distance between adjacent unreacted  $C_{60}$  molecules as a reference, we estimated the average  $C_{60}$ - $C_{60}$  distance of the dimers to be 0.87 nm, which agrees well with that of 0.91 nm for the dumbbell-shaped  $C_{120}$  reported by Komatsu *et al.*<sup>[4]</sup>. On the other hand, the average distance of the  $C_{180}$  trimers was found to be 0.92 nm, indicating that the local structure of the trimers is somewhat different from that of the dimers.



**Fig. 5.** STM image of a local nano-structure of  $C_{60}$  monolayer on  $\sqrt{3}\times\sqrt{3}$ Ag-Si(111) surface after 100 h of UV-vis light irradiation. Open and closed arrows indicate  $C_{60}$  trimers and dimers, respectively. Unreacted  $C_{60}$  molecules were observed as a white circle.

## SUMMARY

We have elucidated the nano-structure of a photopolymerized  $C_{60}$  film using *in situ* FTIR, XPS, and STM. Firstly, a comparison of the FTIR results with IR calculations

indicated that the  $C_{60}$  dimer has a relaxed structure ( $D_{2h}$  symmetry) via a [2+2] cycloaddition four-membered ring. Secondly, a change in the intensity of the C 1s shake-up satellite obtained by XPS showed that six [2+2] rings were formed on a  $C_{60}$  molecule when the polymerization was saturated. A possible configuration of the  $C_{60}$  photopolymer was 2D rhombohedral. Third, we observed direct real-space imaging of the  $C_{120}$  dimers and  $C_{180}$  trimers which were formed in a  $C_{60}$  monolayer supported on a Ag-Si (111) surface and obtained an intermolecular distance between adjacent  $C_{60}$  molecules of 0.87 nm for the dimer and 0.92 nm for the trimer. This discrepancy in the local nano-structure between the  $C_{120}$  dimer and  $C_{180}$  trimer was first observed using STM.

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